

# REGISTRY OF ELECTION FINANCE

The Registry of Election Finance met Thursday, November 12, 2015, at Suite 104 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Presiding over the meeting was Chairperson Norma Lester. Attending the meeting were board members Patricia Heim, Tom Lawless and Tom Morton. Kent Coleman participated via telephone.

## ***Approval of Meeting Minutes***

On motion by Lawless, seconded by Heim, the board voted unanimously to approve the minutes from the August 12, 2015 regular board meeting.

## ***Request for Reconsideration***

***15-21 Cheatham County Republican Party***, for failure to file a 2014 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

The board voted at its May 13, 2015 meeting to issue the organization a show cause notice.

The board voted at its August 12, 2015 meeting to assess the organization a \$1,000 civil penalty assessment. The organization requested a reconsideration of the \$1,000 civil penalty assessment.

Myrla Sproat, treasurer for the organization, filed the required report, closed the PAC account and submitted a sworn statement for the board's consideration.

On motion by Heim, seconded by Morton, the board voted unanimously to reconsider the \$1,000 civil penalty assessment. A motion was made by Coleman to assess the organization a \$100 civil penalty. The motion to assess the organization a \$100 civil penalty died for a lack of a second. A motion was made by Lawless, seconded by Morton, to assess the organization a \$250 civil penalty assessment. The motion to assess the organization a \$250 civil penalty passed the board 4 to 1, with Heim voting "no."

## ***Show Cause Hearings***

***C15-29 Williamson PACE (W-PACE)***, for failure to register as a PAC and report contributions and expenditures with the Williamson County Election Commission. Class two (2), maximum ten thousand (\$10,000) civil penalty per violation. The organization has had no previous matters before the board.

The board voted at its May 13, 2015 meeting to issue the organization a show cause notice.

The board voted at its August 12, 2015 meeting to defer any further action in this matter until the next regularly scheduled meeting to allow the organization an opportunity to personally appear before the board.

Courtney Wilbert, representative for the organization, requested that the board defer any further action in this matter until the next regularly scheduled meeting to allow them an opportunity to personally appear before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to defer any further action in this matter until the next regularly scheduled meeting to allow the organization an opportunity to personally appear before the board.

### ***Cases Subject to Approval of Civil Penalty Order***

***15-16 Bryant Dunaway***, for failure to file a 2014 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Dunaway has had a previous matter before the board. (see below)

The board voted at its May 13, 2015 meeting to issue Mr. Dunaway a show cause notice.

The board voted at its August 12, 2015 meeting to defer any further action in this matter until the next regularly scheduled meeting to allow Mr. Dunaway an opportunity to respond to the show cause notice.

Director Rawlins informed the board that the report has been filed but that there has been no response to the show cause notice.

On motion by Heim, seconded by Lawless, the board voted 4 to 1 to assess Mr. Dunaway a \$10,000 civil penalty, with Coleman voting “no.”

***15-30 Jason Emert***, for failure to file a 2014 4<sup>th</sup> Quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Emert has had a previous matter before the board. (see below)

The board voted at its August 12, 2015 meeting to issue Mr. Emert a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Lawless, seconded by Morton, the board voted unanimously to assess Mr. Emert a \$10,000 civil penalty assessment.

### ***Cases Considered for Issuance of Show Cause Notice***

***Bill Ailor***, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class two (2), 61 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Ailor has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

***Gordon Aulgur***, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class one (1), two days late, maximum fifty (\$50) civil penalty. Mr. Aulgur has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no action.

**Kenya Brooks**, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class one (1), 10 days late, maximum two hundred fifty (\$250) civil penalty. Ms. Brooks has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no action.

**Bryant Dunaway**, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class two (2), 63 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Dunaway has had a previous matter before the board. (see above)

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

**Jason Emert**, for failure to file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Emert has had a previous matter before the board. (see above)

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

**Cedrick Wooten**, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Mr. Wooten has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no action.

**Bradley County Republican Party**, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class one (1), 22 days late, maximum five hundred fifty (\$550) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

**Conservation Action PAC**, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class one (1), seven days late, maximum one hundred seventy-five (\$175) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no action.

**Memphis Restaurant Association**, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class one (1), 15 days late, maximum

three hundred seventy-five (\$375) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no action.

***Unicoi County Republican Party***, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class one (1), two days late, maximum fifty (\$50) civil penalty. The organization has had previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no action.

***Tennessee Students Voting No on 1***, for failure to file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had a previous matter before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

***Jeff Ford***, for failure to file a 2015 Mid-Year Supplemental campaign financial disclosure report with the Williamson County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Ford has had a previous matter before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

***Hershell Koger***, for failure to file a 2015 4<sup>th</sup> Quarter campaign financial disclosure report with the Giles County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Koger has had no previous matters before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

***Paula Lindsey***, for failure to file a 2015 Mid-Year Supplemental campaign financial disclosure report with the Maury County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Lindsey has had a previous matter before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

***Matt Milligan***, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report with the Williamson County Election Commission. Class (1), 14 days late, maximum three hundred fifty (\$350) civil penalty. Mr. Milligan has had a previous matter before the board.

On motion by Heim, seconded by Lawless, the board voted unanimously to issue a show cause notice.

## ***Audit Reviews***

### ***Gov. Bill Haslam***

On motion by Heim, seconded by Morton, the board voted unanimously to approve Gov. Haslam's 2014 campaign finance audit with the included finding.

On motion by Heim, seconded by Morton, the board voted unanimously to take no action.

### ***Steve Southerland***

On motion by Heim, seconded by Morton, the board voted unanimously to approve Sen. Southerland's 2014 campaign finance audit with no findings.

### ***Steven Puckett***

On motion by Heim, seconded by Morton, the board voted unanimously to approve Mr. Puckett's 2014 campaign finance audit with the included findings.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no action.

## ***Executive Director's Report***

- Director Rawlins informed the board that he and board member Tom Lawless appeared before the Joint Government Operations Committee for the Bureau's Sunset Review Hearing. Director Rawlins further informed the board that the Committee recommended a five (5) year extension of the Bureau.

## ***Other Business***

- The board was informed that the contested case hearing and federal lawsuit concerning Williamson Strong, Case No. 15-01, has been delayed. After discussion, the board reaffirmed their decision to delay any action on the Preserve Brentwood, Project 912 and Williamson County Homeschool Coalition sworn complaints until a later date.
- On motion by Coleman, seconded by Lawless, the board voted unanimously to schedule the next Registry meeting for January 13, 2016.

On motion by Lawless, seconded by Morton, the board voted unanimously to adjourn the meeting until the next regularly scheduled meeting.